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WASHINGTON, D.C. 20231  
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#3

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/864,862	05/23/2001	Timothy E. Pearcy	12784.2US01

23552  
MERCHANT & GOULD PC  
P.O. BOX 2903  
MINNEAPOLIS, MN 55402-0903

CONFIRMATION NO. 2070

## FORMALITIES LETTER



\*OC000000006387652\*

Date Mailed: 08/06/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 840.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/20/2002 MMDHAMM1 00000063 09864862

740.00 OP  
130.00 OP02 FC:101  
03 FC:105

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: PEARCY et al. Examiner: Unknown  
Serial No.: 09/864,862 Group Art Unit: Unknown  
Filed: May 23, 2001 Docket: 12784.2US01  
Due Date: February 6, 2002  
Title: MICROWAVE LYOPHILIZATION METHOD

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence and the paper(s), as described herein, are being deposited in the United States Postal Service, as first class mail, with sufficient postage, in an envelope addressed to: BOX MISSING PARTS, Commissioner for Patents, Washington, D.C. 20231, on January 22, 2002.

By:   
Karen R. Nejedly

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Commissioner for Patents  
Washington, D.C. 20231

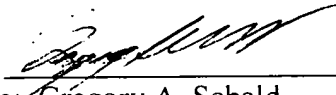
Sir:

We are transmitting herewith the attached:

- ☒ Transmittal Sheet in duplicate containing Certificate of Mailing
- ☒ Notice to File Missing Parts of Nonprovisional Application
- ☒ Signed Combined Declaration and Power of Attorney
- ☒ Request for Extension of Time for four months
- ☒ Check in the amount of \$2,310.00
- Filing Fee \$740.00; Missing Parts Surcharge \$130.00; Four Month Extension Fee \$1,440.00
- ☒ Return postcard

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers or any future reply, if appropriate. Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. A duplicate of this sheet is enclosed.

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GAS/krn



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